Summary

The problem examined in the paper is part of a broader reflection on public governance, especially in its territorial dimension. The author focuses mainly on the modernisation of the public sector in Poland and the world with regard to the principles of participatory democracy as evidenced by the practice of urban governance. In particular, the author focuses on one of the tools that stimulate participation, i.e. participatory budgeting, which has recently resulted in a breakthrough trend in institutional practice and which can be regarded as an innovation in public governance.

The aim of the paper is to examine the impact of the implementation of participatory budgeting on governance in selected Polish cities. The whole analysis is carried out in the context of normative assumptions and the analysed problem highlights the question of the standards of good public governance, which should be respected at the local level. The paradigm adopted by the

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Aldona Wiktorska-Święcka

author reflects the call for the “right to the city for the citizens,” i.e. an approach whereby cities should develop not only in order to support the economy but also to be able to meet people’s aspirations to a better quality of life.

Słowa kluczowe
public governance, cities, participatory urban governance, innovation in governance, Poland, participatory budgeting

PARTYCYPACYJNE ZARZĄDZANIE MIASTEM
Jako Innowacja w Praktyce Instytucjonalnej Polskich Miast

Streszczenie

Problem badawczy podjęty w przedłożonym artykule stanowi część szerszej refleksji na temat zarządzania publicznego, zwłaszcza w wymiarze terytorialnym. Uwaga skoncentrowana jest głównie na problematyce modernizacji sektora publicznego w Polsce i na świecie w odniesieniu do zasad demokracji uczestniczącej ze szczególnym uwzględnieniem praktyki zarządzania miastami. W sposób priorytetowy traktowane jest tu jedno z narzędzi stymulujących uczestnictwo, tj. budżet partycypacyjny, który w ostatnich latach stał się przełomem w praktyce instytucjonalnej wielu polskich miast, a który może być traktowany jako innowacja w zarządzaniu publicznym.

Celem opracowania jest zbadanie wpływu wdrażania budżetu partycypacyjnego na zarządzanie w wybranych miastach Polski. Przedłożona analiza została przeprowadzona w kontekście założeń normatywnych i podkreślą kwestię standardów zarządzania publicznego, które powinny być przestrzegane na poziomie lokalnym. Przyjęty tu paradygmat odzwierciedla postulat „prawa obywateli do miasta”, czyli podejścia, w którym miasta powinny rozwijać się nie tylko w celu wspierania gospodarki, ale także, by móc spełniać aspiracje ludzi do lepszej jakości życia.

Keywords
zarządzanie publiczne, miasta, partycypacyjne zarządzanie miastami, innowacje w zarządzaniu, Polska, budżet partycypacyjny
INTRODUCTION

The importance of transformation in urban governance as a necessity nowadays has been widely recognised for its significant effect on the quality of life and strengthening of democratic legitimacy. As such, efforts to build and/or improve participatory governance are crucial for the future of cities looking for innovative ways of development. Participatory governance implies a need for more scope for participation within the relationships between citizens and public authorities. This concept has been often considered as a way of making authorities more accountable and more responsive to the needs of different groups of stakeholders on the local level. An important prerequisite for a successful long-term urban development is the knowledge of city users’ perceptions of the attributes of participatory governance. Today Polish cities offer dynamic social and cultural experiences, chances of interactions with diverse groups, creative, intellectual as well as political milieus. On the other hand, still weak – although growing – economy, migration, social polarisation and socio-spatial inequality are some of the challenges cities are facing today. That is why it is worth exploring what strategies city dwellers use to maintain and strengthen ties within and across communities, what strategies they use to cope with exclusion, displacement, borders that separate and unite, or gender and class inequalities. Further questions that can be answered in this context relate to how urban policies shape opportunities for the future and constraints for different groups in cities.

This exploratory paper seeks to present the idea of participatory urban governance – both its theoretical foundations and empirical dimensions. It concentrates on one of its tools – participatory budgeting – which can be treated as a breakthrough in the traditional, bureaucratic approach to urban governance in Poland. Due to the considerable slowness of the opening of the Polish public administration system to new concepts of local development, participatory budgeting can be regarded as an innovation, i.e. a change in the existing approach to the organisation of public affairs. The paper provides a research tool for examining the implementation of participatory budgeting in selected Polish cities. The discussion will be preceded by an overview of the existing body of literature and research conducted so far on this subject. The idea is to point out the doctrinal sources
of pursuing innovation in public governance: theories of democracy, civil society, knowledge, social capital and networks. Theoretical assumptions will be confronted with local stakeholders in an exploratory survey conducted for this paper. To this end the author proposes an analytical model for examining participatory budgeting. In this respect, the following case studies will be presented: Sopot, Łódź, Warszawa and Wrocław and confronted with the idea of participatory urban governance. The paper will close with conclusions and general recommendations concerning the prospects of participatory governance for the future of cities in Europe.

PARTICIPATORY URBAN GOVERNANCE AND PARTICIPATORY BUDGETING — DETERMINATION OF TERMINOLOGY

In many countries the public sector is currently undergoing fundamental changes, forced to do so by its environment. Yet in the conditions in which local governments operate in Poland public governance is still limited in many cases to legislative measures consolidating bureaucracy owing to the dysfunctions of local self-government, foremost among them being:

- statist dysfunctions, manifested in local governments becoming increasingly state-owned;
- autocratic dysfunctions, i.e. gradual weakening of local democracy;
- bureaucratic dysfunctions, i.e. administrative inefficiency linked to domination of officials in the functioning of local governments;
- financial dysfunctions, i.e. gradual limitation of financial autonomy of local governments;
- dysfunctions linked to the absorption of EU funds, i.e. financing of short-term and random projects and failure to use EU funds as a tool stimulating developmental changes;
- deficient community, i.e. growth of patrimonial-clientelist relations and generation of binding, subjectivity-limiting social capital;
- spatial dysfunctions, i.e. disorderly and uncontrolled management of space leading to the emergence of pathological spatial relations in urban areas;
Participatory Urban Governance as an Innovation in Institutional

- lack of developmental subjectivity, i.e. severely limited capability of most local governments to programme and boost the development of their territories, which implies their unsustainable development [Hausner 2013, p. 14].

The idea of network-based and integrated management of development from the perspective of “governance” is seen today as an optimistic prospect of a better solution to these dysfunctions, including ability to choose between alternative scenarios and variants of action as well as to set the right course of action and, consequently, more efficient and effective ways of solving problems on the local level. This brings in the specificity of governance, the idea of which is based on a belief that even if government is the preserve of the state, it should go beyond it, taking into account the private and the social sectors. With the emergence of power deficit, socio-political and economic transformations and the development of new technologies have shifted the centre of gravity from the centres that have hitherto determined development (politicians and administration) to new stakeholders (citizens, residents, enterprises, NGOs). There have emerged new forms of citizen involvement in actions initiated and controlled by the public authorities in the exercise of their statutory powers. The objective of this involvement is to improve the decision-making process and the quality of public services provided. It has been recognised that public governance requires the existence of not just efficient administration but also of a well-organised and responsible civil society aware of its rights. In the local dimension a systematic application of the idea of “local governance” is associated primarily with P. John’s concept [John 2001]. The starting point is an analysis of local government institutions and their transformations. The scholar defines local governance as a flexible decision-making model based on loose horizontal networks of public and private actors. This denotes a change in the traditional model of self-government based on hierarchic, formal procedures and institutions. Thus “local governance” is not only about direct decision-making by virtue of executive powers, but also about creating a climate for collaboration between various actors to achieve common objectives. In his theory John points to:
  - new forms of participation of local communities;
  - the presence of critical citizens who no longer want to be just passive observers of the local political scenes;
• new pressure groups and the decline of clientelism in local politics;
• the role of market processes and significance of economic development to the political processes in cities.

The author draws here on the theory of urban regimes [see: Stone 1989] whereby what matters is the ability to relinquish the “power over,” and what is even more important is the ability to mobilise various resources for a specific purpose, i.e. “power to” (achieve something). The networks and relations between actors formulating and implementing local policies are open to participants other than only representatives of the public sector, and are often unstable. It should be noted at this point that the essence of the change in the attitude to local governance lies mainly in the fact that in the past mechanisms of representative democracy were at the centre and the key role was played by elected politicians organised in competing groups (political parties). Today we are dealing with alternative forms of participation, delegation of responsibility for decision-making and provision of services to various stakeholders (not necessarily elected).

Networks often lack a formal hierarchy, which means that building trust between the actors is of key importance to the effectiveness of the functioning of local arrangements. Since no stakeholder – neither organizations nor, even more so, individual citizens – has enough resources to pursue its objective on its own, achievement of objectives in governance requires voluntary collaboration.

Participatory urban governance leads to a greater openness of the public authorities to citizens. This creates a real space for them to directly participate in the management of public funds [Allegretti 2012, p. 3]. It has been defined as “participatory budgeting.” The essence of citizens’ budget comes down to three main points. It can be a well-thought-out and implemented reform (also systemic reform), it can be a project or it can be a tool (as in the case of participatory budgeting procedures in Poland). As a well-thought-out reform procedure it denotes a type of decision-making concerning a part of the public budget at the disposal of a given administration unit and, at the same time, it constitutes a potential tool of participatory democracy. In a way it means relinquishing power as a matter of priority, put into effect first of all by elected public authorities. Participatory budgeting is also an element of participatory governance and thus
a mechanism from the highest level of public participation. As such it should be marked by democratic discussion and decision-making process, in which each city resident has a chance of becoming involved in public affairs and, consequently, of actively influencing them. Thus it is a project-based solution which should facilitate a specific approach to thinking about the functioning of local communities, governance of local communities and influencing their development. The introduction of this form of participatory democracy is intended to increase citizens’ satisfaction with public services. This can lead to greater transparency and credibility of public authorities, greater participation in public life (particularly of excluded groups) as well as civic education. Its application can be treated as a manifestation of a change of paradigm in making decisions about common causes, in which – according to the idea of “governance” – decision-makers increasingly have to respect the subjective rights of their voters. Participatory budgeting is about agreement and negotiation instead of imposition of decisions from above. It makes jointly developed solutions more acceptable and satisfactory to society, thus ensuring better quality public services. It is a response to the citizens’ growing awareness and maturity, and to a strong civil society. As can be seen from the past experience of many cities all over the world implementing participatory budgeting, it can lead to a fairer division of public funds, higher quality of life, increased satisfaction with public services, greater transparency and credibility of the public authorities, increased participation in public life, also of excluded groups, and increased civic awareness [6th Status Report 2013].

Participatory budgeting was used for the first time at the turn of the 1990s. Its most often quoted example is the budget of Porto Alegre, a Brazilian city with a population of 3.5 million. It is the oldest, model participatory budget implemented with partial support of the government. Regarded as a point of reference today, it has had a strong impact on the definition of the term “participatory budgeting.” Given the variety in institutional practice, it is impossible to adopt a single universal approach to the topic. A more effective method of defining participatory budgeting would be to point out characteristic standards and main elements of a solution to be regarded as a participatory budget. The literature on the subject contains a set of criteria that have to be met in order for an initiative to be classified as participatory
budgeting. It is a specific set of rules and values which determine authentic involvement of citizens in decision-making concerning the local community and which are a manifestation of a novel way of thinking about the development of a local community, thinking open to the voice of members of this community. They include:

- discussions and debates among citizens, who will determine the projects and funds earmarked for them;
- the initiative must be regularly repeated every year;
- the initiative must involve the whole city and cannot be implemented only locally;
- the amount allotted to actions financed under participatory budgeting must be clearly specified at the beginning and the discussions must concern limited financial resources [see: Kębłowski 2013; Sintomer, Herzberg, Röcke, Allegretti 2012].

**METHODOLOGICAL FRAMEWORK**

The starting point for the author’s analysis of institutional practice in Polish cities is the model of public participation developed by the International Association for Public Participation [IAP 2007]. According to this model, participatory budgeting can be regarded as an instrument that can be limited only to unidirectional action without any impact on the decision-making process, it can take various interactive forms of inclusion or it can be full, partnership-based involvement in decision-making and in the implementation of decisions concerning public affairs.

To assess the performance of the analyzed cases following parametric criteria were taken into consideration:

- information: citizens have full access to public information which is transparent;
- consultation: projects of public decisions are published before they are agreed on, citizens consult the projects and their opinions can (re)shape the final versions of decisions;
- involvement: there is an open space to create common projects, citizens are welcome during the development of public decisions;
- collaboration: citizens and public authorities cooperate to perform a public decision;
Participatory Urban Governance as an Innovation in Institutional
eempowerment: citizens are and active part of decisions-making
process.
From the point of view of participatory governance the most optimal
and desirable element is “empowerment,” while “information” is to-
day insufficient and the most unfavourable, because it is often limited
to actions only simulating democratic solutions. What is also important
with regard to any analysis of the implementation of participatory
budgeting is to ascertain the following: What were the goals to be
achieved by the introduction of this solution in the analysed cities and
whether and to what extent were these goals communicated to the pub-
lic? What promise was made to the citizens by the public authorities
and was this promise kept? And if so, to what extent? What participa-
tion techniques were used (stabilising or activating) and to what extent
in order to implement to the fullest extent a specific solution stimulat-
ing public participation by means of participatory budgeting? Answers
to these questions will make it possible to describe general scenarios of
the development of participatory urban governance in Poland.

In her analysis the author has focused on the way participatory
budgeting was implemented in the context of its model stages:
• preparation of the process;
• formulation of the rules governing the process;
• information-education campaign;
• development and submission of project proposals;
• verification of projects;
• discussion about projects;
• selection of projects to be implemented;
• monitoring of project implementation;
• evaluation of the process.

This is followed by a parametric assessment of the implementation
of participatory budgeting in the context of stimulating public par-
ticipation, in which the following values have been adopted:
• 2: goal fully met
• 1: goal partially met
• 0: not applicable or goal not met

In order to establish to what extent participatory budgeting stimulates
public participation, i.e. active relations between the public authorities
and the citizens drawing on transparent and partnership-based rules, the
goal of which is empowerment, the following values have been adopted:
• **10:** full empowerment, citizens have a real influence over decision-making processes, shaping them actively and responsibly. The subjectivity of both sides is respected and decision-making is consensual. Deliberation and participation are the overriding ways of shaping the public space on the local level;

• **8-9:** representatives of the public sector involve citizens in the formulation of public policies, they organise consultations on their own or the citizens’ initiative, the consultations are open and their results are binding in the decision-making process. Thus there is genuine public participation, standards of better management of local development are formulated jointly, monitoring and evaluation are important tools in the learning processes;

• **6-7:** representatives of the public sector are beginning to notice the potential of citizen participation in decision-making; they come up with initiatives of organising consultations the results of which are taken into account in the decision-making process. They genuinely want to increase public participation, although they realise that it is not always an effective method for managing local development. In order to improve quality, they run information-education campaigns;

• **4-5:** representatives of the public sector take advantage of consultations, during which citizens propose their solutions. The public sector representatives may take them into account in their decisions. Public participation is treated opportunistically, instrumentally, sometimes it is simulated, though in favourable conditions (e.g. political will of decision-makers plus engagement of citizens) it can become a standard in local governance;

• **2-3:** minimum standards in the opening of the public sector to the external environment have been met, though the opening is limited to unidirectional “top-down” contacts (authorities-citizens) without any possibility of interaction. Public participation is not regarded as desirable, modernisation of the public sector is very slow, bureaucratic procedures are still in place, and the main decision-making criterion is legislative legitimacy;

• **0-1:** closed democracy, only for elected representatives with an absolute mandate. The representatives are not open to the voices of the citizens. They are willing to take into account external
Participatory Urban Governance as an Innovation in Institutional proposals only as a consequence of political calculations or extra-
ordinary public dissatisfaction.

The cities presented as case studies of budgetary solutions im-
plemented in Poland have been selected owing to their varied geo-
graphical locations (centre, north, west of the country) and relatively
similar socio-economic conditions (dynamic economic growth, low
unemployment rate, good demographic indicators). Each of them is
an important development centre in its region: Sopot as part of the
Tri-City urban area, Łódź in central Poland, Warsaw as the key metro-
polis in Poland and Wrocław – capital of Lower Silesia. In addition,
they are major urban centres with populations of at least 500,000 (in
the case of Sopot – together with Gdynia and Gdańsk) and organised
civil society, known for their civic-patriotic roots and traditions. This
is why – though it might be a bit of an exaggeration for normative
reasons – they have been assigned the role of potential “leaders” that
can set the standards for participatory governance in Poland.

SOPOT: HOW NOT TO IMPLEMENT PARTICIPATORY
BUDGETING

The idea of participatory budgeting in Poland was put into practice
in 2011, when Sopot – as the first city – began to develop and im-
plement this participatory governance tool. The rules were defined
in the decision of the Sopot City Council of 11 May 2012 on public
consultations with residents of Sopot over the city’s budget for 2013
[Uchwała XIX].

Sopot’s population is less than 40,000 and it covers an area of only
17 square kilometres. Together with the neighbouring cities – Gdańsk
and Gdynia – this seaside resort makes up the Tri-City with a popu-
lation of nearly 750,000. It attracts tourists interested in the longest
wooden pier in Europe or annual song contests organised in the
Opera Leśna (Forest Opera) amphitheatre. Some come to Sopot also
for their holidays to enjoy the benefits of staying in a health resort
which the city formally became in 1999.
Table 1. Participatory budgeting as a tool for supporting public participation in Sopot

<table>
<thead>
<tr>
<th>Public participation goal</th>
<th>Information</th>
<th>Consultation</th>
<th>Involvement</th>
<th>Collaboration</th>
<th>Empowerment</th>
</tr>
</thead>
<tbody>
<tr>
<td>To provide the public with information about the rules and operating principles of participatory budgeting in the city.</td>
<td>None</td>
<td>Acceptance of proposals submitted by the public</td>
<td>None</td>
<td>Through its projects and balloting the public should participate in urban governance.</td>
<td></td>
</tr>
<tr>
<td>We will inform you about (almost) everything that is important to you in connection with the process</td>
<td>We will consult nothing with you, we will define the rules and implement the projects</td>
<td>We will give you an opportunity to express your needs and become involved in the city’s affairs</td>
<td>Thanks to PB we can get to know your needs</td>
<td>By allocating funds to the citizens’ budget, we also empower the public</td>
<td></td>
</tr>
<tr>
<td>Information leaflets information meetings</td>
<td>None</td>
<td>Submission forms</td>
<td>Partially submission forms</td>
<td>Submission forms balloting</td>
<td></td>
</tr>
</tbody>
</table>

Example public participation techniques

<table>
<thead>
<tr>
<th>Stage I (preparing the process; formulating the rules, information-publicity campaign)</th>
<th>No consultation with the public</th>
<th>No involvement of the public in rule formulation</th>
<th>No collaboration, rules defined by the authorities</th>
<th>No empowerment of the public, rules defined by the authorities</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes, full information.</td>
<td>No consultation possibilities</td>
<td>Yes, full involvement</td>
<td>Yes, full collaboration</td>
<td>N/A</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Stage II (formulation and submission of project proposals)</th>
<th>Yes, information about projects accepted for balloting</th>
<th>No consultation with authors of proposals</th>
<th>No involvement owing to lack of communication between officials and authors of proposals</th>
<th>No collaboration</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes, information about projects</td>
<td>No consultation with authors of proposals</td>
<td>No collaboration</td>
<td>No officials decided which proposals were to be accepted for balloting</td>
<td></td>
</tr>
</tbody>
</table>
Participatory Urban Governance as an Innovation in Institutional Stages

<table>
<thead>
<tr>
<th>Stage IV</th>
<th>Partially. Information about winning projects but no summing up of the entire PB and no evaluation</th>
<th>N/A</th>
<th>N/A</th>
<th>N/A</th>
<th>Yes. Projects that won the public ballot were earmarked for implementation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stage V</td>
<td>Partially. Annual reports on PB implementation are published on Sopot’s website.</td>
<td>None</td>
<td>None</td>
<td>None</td>
<td>None</td>
</tr>
</tbody>
</table>

Source: author’s own analysis.

Parametric assessment of the implementation of participatory budgeting in Sopot in the context of stimulating public participation:

\[ \Sigma (\text{Information, Consultation, Involvement, Collaboration, Empowerment}) = \frac{8.5+0+2+2+2}{5} \]

\[ \Sigma (14.5/5) \] (out of possible 50 if the goal is fully met)

\[ \Sigma (2.9) \]

The author’s analysis shows that in the case of Sopot’s participatory budget little was done to take into account model rules and stimulate public participation. Citizens showed interest and sought to actively participate in the venture, but the public authorities were not willing to make the public actively involved in decision-making and anticipated the process to a very limited extent. The most important oversights included a lack of participatory rule formulation, lack of contact between officials and authors of proposals, and lack of evaluation and follow-up at the end. Of key significance to these shortcomings was a lack of political will and publicly manifested reluctance of the city’s mayor to be open to collaboration with the public as well as the mayor’s personal decisions that could have led to accusations of “manual control” of the process without respect for the principles and rules of participatory budgeting.

Some elements of the Sopot model were decidedly positive. A very interesting (though not entirely effective) solution was to send each household information about the project with the submission form...
and the ballot paper. This made it possible to reach nearly all citizens, which aroused hopes that many of them would take part in the ballot.

This good practice was overshadowed by subsequent authoritative decisions of the Sopot authorities. After the ballot and selection of winning projects, the final say nevertheless rested with the mayor, who could recommend projects as he wished and he did so, ordering the implementation of two proposals that ended up further down the list. In addition, in creating this type of precedent, he increased the pool of funds allocated to projects within the framework of participatory budgeting. This constitutes a clear violation of the basic rules of participatory budgeting. Moreover, despite the earlier extensive campaign informing the public about the progress in the implementation of Sopot’s citizens’ budget, after the winning projects were selected for implementation, no results of the entire project were made available.

In the end the City Council Committee decided, following an intervention of some city activists, it was unfair for two projects from further down the list to be financed with the funds allocated to the whole participatory budget, and introduced a note saying that the two projects were added by the mayor and, consequently, that funds for their implementation had to come from elsewhere [Stokłuska, Kębłowski 2013].

The implementation of participatory budgeting in Sopot was very difficult owing to numerous problems and politicians’ reluctance to acknowledge that such a solution was good and useful. It would seem, putting it most mildly, that the city authorities first did everything they could for participatory budgeting not to be introduced at all and then for it to be transformed into discussions and consultations that were not legally binding. This was an example of glaring disrespect for citizens’ will.

However, it must be said that the very idea of participatory budgeting and its implementation was a considerable success. Sopot was the first among many cities that decided to implement such a solution and thus it could be said that as a pioneer of such actions in Poland it did well. However, it was not an example of a good practice. Piling up problems and obstacles not only does not help councillors exercise their powers but also shows how distant they are from the idea of a democratic state. As one of the initiators of participatory budgeting in Sopot, M. Gerwin, writes:
Participatory Urban Governance as an Innovation in Institutional

One of the fundamental shortcomings of Sopot’s participatory budget is that it is not... participatory enough. The impression is that it is more of a budget of officials or councillors with some elements of public participation, yet it still has little in common with a genuine citizens’ budget [Gerwin 2013].

An example can be Sopot, the mayor of which in the first edition of participatory budgeting chose several projects that were not necessarily the most popular among the citizens; also it turned out later that some projects were not implemented at all [see more: Ziółkowska 2013]. Thus participatory budgeting in Sopot has turned out to be a tool that, not having been based on patience and trust in citizens, has little to offer in terms of public participation: it gives the citizens only a minimal degree of influence on the decisions concerning their city [see more: Kębłowski 2013].

ŁÓDŹ: LEADER IN PARTICIPATORY BUDGETING IN POLAND

Łódź is one of Poland’s biggest cities. Located in the central part of the country, it has a population of over 700,000. It is the main economic and cultural centre in the region, known primarily for its textile industry, which is gradually being replaced by other sectors, like manufacturing of household appliances. The city is divided into 36 residential districts. Despite the fact that in the past Łódź focused mainly on industrial development, the city has a lot of historic buildings (the register of historical monuments lists nearly 2,000 of them). It is also one of the major film centres in Poland; its numerous film studios have been used by the producers of films known and admired all over the world (e.g. Zbigniew Rybczyński’s Tango or Suzie Templeton’s Peter and the Wolf). The Łódź Film School boasts such famous graduates as Andrzej Wajda, Krzysztof Kieślowski or the actor and director Jan Machulski. The city’s assets also include one of best special economic zones in the world, and one of the most modern technology parks in Europe as well as its vibrant academic base.
Table 2. Participatory budgeting as a tool for supporting public participation in Łódź

<table>
<thead>
<tr>
<th>Stage I (preparing the process; formulating the rules governing the process, information-publicity campaign)</th>
<th>Information</th>
<th>Consultation</th>
<th>Involvement</th>
<th>Collaboration</th>
<th>Empowerment</th>
</tr>
</thead>
<tbody>
<tr>
<td>On the city authorities’ initiative in collaboration with the citizens. Yes, full information.</td>
<td>Providing the public with information about the new tool and its rules so that the public knows how to use it.</td>
<td>Consulting decisions with the citizens to make sure the decisions respond to their needs.</td>
<td>Involvement of the public in debates about rule formulation and in the project submission process</td>
<td>Collaboration in project preparation and verification so that the authors of projects can improve them and make sure they conform to the rules</td>
<td>Through its projects and later balloting the public should actively participate in urban governance</td>
</tr>
<tr>
<td>Stage II (formulation and submission of project proposals)</td>
<td>Yes, full information.</td>
<td>Yes, all authors could consult their projects with relevant officials.</td>
<td>Yes, full involvement.</td>
<td>Yes, full collaboration between officials and the public.</td>
<td>N/A</td>
</tr>
</tbody>
</table>

Promise to the public

We will inform you about everything that concerns participatory budgeting

- We will inform you about anything that concerns participatory budgeting
- We will try to make sure your opinions and needs are taken into account
- We want to know your opinions and get your ideas in the form of projects
- We will inform you about any inaccuracies in your projects and we will help you find the best solutions to improve your ideas

Example public participation techniques

<table>
<thead>
<tr>
<th>Information</th>
<th>Consultation</th>
<th>Involvement</th>
<th>Collaboration</th>
<th>Empowerment</th>
</tr>
</thead>
<tbody>
<tr>
<td>web portal mobile application information meetings</td>
<td>consultation with officials contact points</td>
<td>information meetings submission forms</td>
<td>contact between officials and citizens</td>
<td>balloting submission forms</td>
</tr>
</tbody>
</table>

Public participation goal

- Providing the public with information about the new tool and its rules so that the public knows how to use it.
- Consulting decisions with the citizens to make sure the decisions respond to their needs.
- Involvement of the public in debates about rule formulation and in the project submission process
- Collaboration in project preparation and verification so that the authors of projects can improve them and make sure they conform to the rules

We will inform you about anything that concerns participatory budgeting

- We will inform you about anything that concerns participatory budgeting
- We will try to make sure your opinions and needs are taken into account
- We want to know your opinions and get your ideas in the form of projects
- We will inform you about any inaccuracies in your projects and we will help you find the best solutions to improve your ideas

All projects selected by you in the ballot will be implemented
Parametric assessment of the implementation of participatory budgeting in Łódź in the context of stimulating public participation:

\[ \Sigma \text{ (Information, Consultation, Involvement, Collaboration, Empowerment)} = \left(\frac{10+7+6+5+5}{5}\right) \]

\[ \Sigma (33/5) \]

\[ \Sigma (6.6) \]

The Łódź participatory budget is rightly called one of the best in Poland: it was above average when compared with model solutions (6.6 out of possible 10) and thus considerably stimulated public participation. The participatory budgeting procedure involved various groups, including housing cooperatives, residential district councils, NGOs, parishes and groups of citizens brought together by specific projects. Worthy of note is also the participation of groups at risk of social exclusion, i.e. the elderly, people with disabilities, children as well as people previously not participating actively in any actions.
for the public good. The most significant weaknesses of the analysed solution include the fact that the rules of participatory budgeting were defined by the authorities despite earlier consultations with the public. It is hard to say to what extent the citizens’ proposals were taken into account in the first edition, which suggests that the element was not fully participatory. This can be explained by the experimental, pilot-like nature of the first edition of the Łódź model; the city authorities declared they would be more open to public involvement in rule formulation in subsequent editions.

Łódź’s participatory budget is a good example of how the solution should be introduced in other cities. Of course some mistakes were made, but we need to remember that such actions always mean taking considerable risk. To quote B. Martela, who participated in the procedure:

This does not change the fact that the approach of the local government to participatory budgeting was in many respects exemplary. (...) The first edition of participatory budgeting was undoubtedly a success. Łódź decided to embark on a complicated participatory process without taking any shortcuts. Well-thought-out rules, huge effort on the part of the authorities and administration, involvement of NGOs in the campaign and, above all, huge public enthusiasm have made Łódź’s participatory budgeting an example of good practice [Martela, p. 8].

WARSAW: FROM A LOCALITY TO A MEGA-CITY

Warsaw, Poland’s capital and a European metropolis, is the biggest city in the country. Its urban history goes back to the 13th century, though it did not become Poland’s capital until 1596, when King Sigismund III Vasa transferred his residence from Kraków to Warsaw. The city had always been an important point on the map of Poland, situated as it was at a crossroads of trade routes. After becoming Poland’s capital city, Warsaw started to grow very rapidly as a modern economic, cultural and political centre. Over the following centuries the city underwent a transformation which gave rise to its nickname of “Paris of the East” in recognition of its strong position in this part of Europe. The city, which has witnessed a number of key events in the history of Poland, currently covers an area of over 517 km² inhabited
by nearly 2 million people. In addition, as the country’s capital, it attracts tourists, students and migrants who want to get to know the history of the city and the country or who are seeking their future there. Warsaw is the largest city in Poland in terms of its population. It also has the biggest budget for investments financed with its own resources and European Union funds. This considerably increases the number of projects relating to the development of services and basic infrastructure.

<table>
<thead>
<tr>
<th>Public participation goal</th>
<th>Information</th>
<th>Consultation</th>
<th>Involvement</th>
<th>Collaboration</th>
<th>Empowerment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Providing the public with information about the new tool and its rules so that the public knows how to use it.</td>
<td>Consulting decisions with the citizens to make sure they respond to their needs and demands.</td>
<td>Working with the citizens, setting up special participatory budgeting teams to fully understand their needs.</td>
<td>Collaboration during rule formulation (partial) and project verification so that the authors of proposals can improve them and make sure they conform to the rules.</td>
<td>Through its projects and later balloting the public should actively participate in the governance of the various districts and the city as a whole.</td>
<td></td>
</tr>
</tbody>
</table>

| Promise to the public | We will inform you about matters relating to participatory budgeting | We will try to make sure your opinions and needs are taken into account | We will involve you as much as possible to make sure the decisions taken satisfy you. | We will inform you about any inaccuracies in your projects and we will help you find the best solutions to improve your ideas | All projects selected by you in the ballot will be implemented |

<table>
<thead>
<tr>
<th>Example public participation techniques</th>
<th>information leaflets</th>
<th>consultation hours</th>
<th>participatory budget teams workshops</th>
<th>contact between officials and citizens</th>
<th>balloting submission forms</th>
</tr>
</thead>
<tbody>
<tr>
<td>web portal</td>
<td>contact points</td>
<td>workshops</td>
<td>citizens</td>
<td>submission forms</td>
<td></td>
</tr>
<tr>
<td>Stage I</td>
<td>(preparing the process; formulating the rules governing the process, information-publicity campaign)</td>
<td>Yes, full information.</td>
<td>Yes, PB rules were consulted with the public.</td>
<td>Yes, special PB Teams were set up</td>
<td>Partially. Collaboration within PB Teams. Each district had some freedom when it came to detailed arrangements.</td>
</tr>
<tr>
<td>-----------------</td>
<td>------------------------------------------------------------------------------------------------</td>
<td>-----------------------</td>
<td>-----------------------------------------------</td>
<td>----------------------------------</td>
<td>--------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Stage II</td>
<td>(formulation and submission of project proposals)</td>
<td>Yes, full information.</td>
<td>Yes. Authors could consult their proposals with specialists.</td>
<td>Yes. Full involvement.</td>
<td>Yes, full collaboration.</td>
</tr>
<tr>
<td>Stage III</td>
<td>(verification of projects, discussion about projects)</td>
<td>Yes, full information.</td>
<td>Yes, full consultation.</td>
<td>Yes, full involvement.</td>
<td>Partially. Collaboration with officials and possibility of correcting proposals only up to a certain date.</td>
</tr>
<tr>
<td>Stage IV</td>
<td>(selection of projects to be carried out)</td>
<td>Yes, full information.</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Stage V</td>
<td>(implementation monitoring and evaluation)</td>
<td>Partially. No information about progress and no implementation schedule.</td>
<td>Partially. Proposed changes consulted during evaluation.</td>
<td>Partially. Involving the citizens in the evaluation process by encouraging them to fill in questionnaires.</td>
<td>None</td>
</tr>
</tbody>
</table>

Source: author’s own analysis.

Parametric assessment of the implementation of participatory budgeting in Warsaw in the context of stimulating public participation:
\[ \Sigma \text{ (Information, Consultation, Involvement, Collaboration, Empowerment) } = \frac{[9+7+7+4+4]}{5} \]
\[ \Sigma(31/5) \]
\[ \Sigma(6.2) \]
Warsaw has one of the highest public participation ratios (6.2 out of 10) among the analysed cities. Worthy of note is the division of the city into smaller areas, which enabled each district to have a separate participatory budget. Despite the fact that (like in all Polish cities) the main rules were defined by the authorities, each district had considerable freedom in making the rules more specific, a process that involved the public. It is a very good practice worth following.

Poland’s capital city prepared for the introduction of the rules of public participation for a long time, but it can be said that its first attempt has been successful (provided that the winning projects are implemented). Let us hope that this element of the city’s broader modernisation strategy will become a permanent feature in Warsaw and that thanks to this and many other ideas the city will be governed effectively with its citizens participating extensively in the process. It seems that at this point the city is serious about the key rules of participatory budgeting: the citizens’ decisions concern clearly defined and limited financial resources, Warsaw’s authorities have already declared that participatory budgeting will be a regular process, public debate has been ensured as has been broad public involvement in participatory budgeting.

**WROCŁAW: TOWARDS PARTICIPATION**

Wroclaw is one of the most important and largest Polish cities. It is also one of the oldest urban centres in Poland, historical capital of Silesia and administrative capital of the Lower Silesian Province. In the past the city stood at the crossroads of two major trade routes: Amber Trail and Via Regia, which boosted trade and general development of the metropolis. During the Second World War the city was proclaimed a fortress (“Festung Breslau”) and a substantial part of it was destroyed during the fighting. It was also the birthplace of the anti-communist organisation “Solidarność Walcząca” [Fighting Solidarity] and a youth initiative called “Pomarańczowa Alternatywa” [Orange Alternative], which tried to fight communism by ridiculing it, using dwarves – now one of the city’s symbols – for the purpose. According to the Central Statistical Office, Wroclaw is the fourth largest city in Poland in terms of its population (over 630,000) and fifth in terms of its surface area.
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(nearly 300 km²). It is one of the most important academic and cultural centres in Poland. There are many higher education institutions, theatres, museums, parks and historic monuments known all over Europe.

Table 4. Participatory budgeting as a tool for supporting public participation in Wrocław

<table>
<thead>
<tr>
<th>Information</th>
<th>Consultation</th>
<th>Involvement</th>
<th>Collaboration</th>
<th>Empowerment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public participation goal</td>
<td>Providing the public with information about the new tool.</td>
<td>Decisions not consulted with the public.</td>
<td>The public involved only at the project submission stage. Goal: to obtain proposals.</td>
<td>None</td>
</tr>
<tr>
<td>Promise to the public</td>
<td>We will inform you about matters relating to Wrocław citizens’ budget (WCB)</td>
<td>We will consult nothing with you, we will define the rules and implement the projects.</td>
<td>We will give you an opportunity to express your needs and become involved in the city’s affairs.</td>
<td>None</td>
</tr>
<tr>
<td>Example public participation techniques</td>
<td>web portal information leaflets</td>
<td>None</td>
<td>submission forms</td>
<td>partially submission forms</td>
</tr>
<tr>
<td>Stage I (preparing the process; formulating the rules governing the process, information-publicity campaign)</td>
<td>Yes, full information.</td>
<td>No, no consultation of the rules with the public.</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Stage II (formulation and submission of project proposals)</td>
<td>Yes, full information.</td>
<td>No. Authors could not consult their proposals with specialists.</td>
<td>Partially. Citizens submitted their proposals, but could not be sure that their projects would be put to the ballot.</td>
<td>No. No collaboration between officials and the public.</td>
</tr>
</tbody>
</table>
### Particpitory Urban Governance as an Innovation in Institutional Stage III

(verification of projects, discussion about projects)

Yes, full information.

<table>
<thead>
<tr>
<th>No. Officials verified the proposals and chose those that were to be put to the ballot.</th>
</tr>
</thead>
<tbody>
<tr>
<td>No. Officials verified the proposals and chose those that were to be put to the ballot.</td>
</tr>
<tr>
<td>No. Officials verified the proposals and chose those that were to be put to the ballot.</td>
</tr>
<tr>
<td>No. Officials verified the proposals and chose those that were to be put to the ballot.</td>
</tr>
</tbody>
</table>

### Stage IV

(selection of projects to be carried out)

Yes, full information.

<table>
<thead>
<tr>
<th>N/A</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
</tr>
<tr>
<td>N/A</td>
</tr>
<tr>
<td>N/A</td>
</tr>
</tbody>
</table>

Yes, the winning projects were earmarked for implementation.

### Stage V

(implementation monitoring and evaluation)

Yes, full information.

<table>
<thead>
<tr>
<th>None</th>
</tr>
</thead>
<tbody>
<tr>
<td>Partially. Involving the citizens in the evaluation process by encouraging them to express their opinions about the WCB.</td>
</tr>
<tr>
<td>None</td>
</tr>
<tr>
<td>None</td>
</tr>
</tbody>
</table>

Source: author's own analysis.

Parametric assessment of the implementation of participatory budgeting in Wrocław in the context of stimulating public participation:

\[
\Sigma (\text{Information, Consultation, Involvement, Collaboration, Empowerment}) = \frac{[10+0+0.4+0.84]}{5}
\]

\[
\Sigma (14/5) = 3.2
\]

The first edition of the Wrocław’s participatory budgeting, often referred to as the pilot edition, is an example of a weak participation practice (3.2 out of 10): citizens had no real influence of the form and scope of the tool, and the city authorities took no steps to increase public participation. The most important shortcomings of the Wrocław pilot project included lack of contact between officials and authors of proposals at the project submission stage (which would have made it possible to resolve many formal doubts) and during verification. Incomplete or incorrect projects were eliminated from
the process, instead of being accepted after corrections, which would certainly have boosted citizens’ permanent involvement. The weaknesses also included the city’s information policy as well as political decision-makers’ dismissive attitude to the solution.

Despite the fact that the first edition of participatory budgeting in Wrocław was presented as a pilot edition supposed to test how such a solution would be received in the city, some people were dissatisfied by the limited funds earmarked for the purpose (comparative analyses have shown it was the lowest ratio in Poland [Kębłowski 2014]). In this respect the comparison was between the city’s financial contribution and that of Łódź. The mayor caused a scandal, when he said in a radio interview that people who did not like the Wrocław solution should move to Łódź. Later the mayor apologised for his ill-considered remark, but some citizens remembered it as irresponsible and dismissive [see: Kozioł, Wójcik 2013].

In addition, not all investment projects were earmarked for implementation immediately after the ballot. Some did not make it into the city’s plans for 2013 and had to be transferred to the city’s budget adopted for the following year. Despite the fact that the practice did comply with the rules for the entire process specified earlier, some people expressed their opposition to the city authorities and criticised them saying that the postponed projects would be forgotten or that the funds earmarked for their implementation would be reduced [Kozioł 2013, Torz 2013]. The misunderstanding was due to a poor publicity campaign for the WCB and lack of information for the public about the detailed regulations. This was obviously only a minor shortcoming, but, unfortunately, it had a negative impact on the image of and citizens’ opinion about the Wrocław’s Participatory Budgeting. Despite subsequent explanations and information that work was under way but for the moment focused on planning and organisation of tenders [Skupin 2014], some people ceased to believe in the legitimacy of the venture. Nevertheless, a decision was made to continue the work on the Wrocław model of participatory budgeting in 2014, followed by a declaration that the amount allocated for the purpose would be increased to PLN 20,000,000.
PARTICIPATORY BUDGETING AS AN INNOVATION IN URBAN GOVERNANCE IN POLAND – MAIN FINDINGS

The case studies presented here suggest that the quality of participatory budgeting itself and the degree of public participation measured by citizen empowerment depend on detailed solutions adopted. As the practice in the selected Polish cities showed, some local governments limited the role of the public in the whole process only to submission of proposals and balloting (e.g. Sopot or Wrocław). There were also cities that were more open to treating citizens as partners (Warsaw) or even opted for participatory governance, involving the public in the formulation of participatory budgeting procedures. Each model should add value by adopting measures that will best respond to the expectations of people in the given community. A good solution could be to set up joint teams bringing together various groups of stakeholders (e.g. participatory budgeting teams set up in the districts of Warsaw). In-depth analyses of the selected cities have demonstrated that participatory budgeting is regarded as a tool functioning on the margins, as it were, of modern governance solutions with which the public sector in Poland is currently grappling. Separated from the existing systemic solutions (none of the analysed examples is part of a quality management system, none is part of a local development strategy and thus is not part of strategic management), each model is treated as a solution on its own, a solution that needs a new organisational framework instead of being integrated with already existing systems. Thus none of the analysed cases reflects the modernising trends associated with participatory governance of cities, especially in the context of the “urban governance” approach, i.e. none stimulates public participation in its model version. In the context of reflections on the prospects of participatory budgeting in Poland it is especially important to see this solution in systemic terms, i.e. with regard to factors determining the whole process at the very beginning (goals and motivations), way of implementing it (the process itself) and its effects (results). None of the Polish solution comes close to the model solution, i.e. participatory budgeting in Porto Alegre. The compilation presented below may be useful in determining the directions and trends of Polish solutions, and in taking remedial measures, because none of these solutions stimulates public participation to an extent
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sufficient for us to speak of modernising and reforming – not to mention innovative – mechanisms for managing local development.

Table 5. Characteristics of participatory budgeting as an innovation in urban governance in Poland

<table>
<thead>
<tr>
<th>Characteristics of PB as a reform</th>
<th>Present in:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Sopot</td>
</tr>
<tr>
<td>1. PB uses, as much as possible, the experiences and traditions of social activism as well as earlier participation mechanisms</td>
<td>No</td>
</tr>
<tr>
<td>2. PB is a result of constant collaboration of as broad a group of “actors” as possible. The budget planning process is in itself participatory</td>
<td>No</td>
</tr>
<tr>
<td>3. PB is part of administrative reform</td>
<td>No</td>
</tr>
<tr>
<td>4. PB combines both top-down and bottom-up goals</td>
<td>Partially</td>
</tr>
<tr>
<td>5. PB is inclusive – it involves the citizens in the public debate</td>
<td>No</td>
</tr>
<tr>
<td>6. PB uses attractive forms to create space for discussions about urban policy</td>
<td>No</td>
</tr>
<tr>
<td>7. PB not only seeks deliberation and consensus among the participants, but also makes it possible to express conflicting views – different visions of the city</td>
<td>No</td>
</tr>
<tr>
<td>8. PB is a multi-level process – it allows the public to have a say with regard not only to specific projects but also general investment priorities</td>
<td>No</td>
</tr>
<tr>
<td>9. PB is educational – it enables people not only to have a say but also to learn how the city functions</td>
<td>No</td>
</tr>
<tr>
<td>10. PB participants are treated as equal partners – none of the “actors” is in a privileged position. The division into “citizens” and “officials” is eliminated by assigning to all the same role of “process participants”</td>
<td>No</td>
</tr>
<tr>
<td>11. PB combines elements of direct and representative democracy – its participants not only talk about the city but also become co-responsible for it</td>
<td>No</td>
</tr>
<tr>
<td>12. PB participants are also its co-organisers – they make decisions about its rules, topics and criteria used in the evaluation of submitted investment proposals</td>
<td>No</td>
</tr>
<tr>
<td>13. PB relies on the principle of the so-called inversion of priorities</td>
<td>No</td>
</tr>
<tr>
<td>14. PB is binding and brings visible effects</td>
<td>No</td>
</tr>
<tr>
<td>15. Some investment projects should be carried out before the beginning of the next round</td>
<td>No</td>
</tr>
<tr>
<td>16. The citizens monitor the implementation of investment projects selected under PB</td>
<td>No</td>
</tr>
</tbody>
</table>
Participatory Urban Governance as an Innovation in Institutional

| 17. The citizens can annually (or more often) evaluate and thus change PB procedures | No | Partially | No data | Partially |
| 18. PB is a regularly repeated and not one-off initiative | No | Yes | Yes | Yes |

No data: if it is still too early to say unequivocally yes or no


The above compilation shows that the implementation of participatory budgeting in the selected Polish examples is not systemic. The choice of the various elements that make urban governance innovative in the form of “participatory governance” is often random, discretionary, inconsistent and not coherent with other elements. Thus the most appropriate point of reference illustrating the nature of solutions employed so far is a set of jigsaw puzzle pieces, which are chosen – usually arbitrarily by the public authorities – one by one from the whole puzzle and are put together to form any picture they want. Citizens are rarely invited to join in the game, and even if they are, they do not have the full set of the pieces, which means that the jigsaw puzzle cannot be completed. Thus there emerges something that should be a comprehensive solution but is instead a not very effective measure in the context of increasing public participation in the governance of Polish cities.

That is why organisers of participatory budgeting representing public administration should seek to involve the citizens and NGOs as much as possible in the process and to instil in the citizens a sense of ownership of the process, at the same time maintaining overall responsibility for the process on the part of municipal authorities. This is pointed out by e.g. W. Kębłowski, who has studied the implementation of participatory budgeting in Poland. As he writes, joint verification and implementation of grassroots initiatives as well as joint evaluation of the rules should be part of a broad strategy of making the citizens co-responsible for participatory budgets and thus for the policies of their cities, counties and regions. This necessitates combining within participatory budgeting elements of direct democracy and representative democracy so that all participants
can adapt their level of involvement to their resources and needs. (...) Virtually no Polish participatory budget makes citizens more responsible for their cities by combining elements of direct and representative democracy. The question of responsibility and maturity is almost always approached unilaterally – within the framework of participatory budgeting the citizens are to “show their mettle” or “distinguish themselves” in front of the officials and councillors, who do not have to meet such requirements. (...) Responsibility for participatory budgeting and thus for the development of cities rests with the officials and councillors – thus the paradigm of local government policy does not change [Kębłowski 2014].

We should bear in mind that factors that are key to the success of participatory budgeting are mutual trust between the citizens and authorities – which relies on transparency of the rules, openness of the process to citizens’ participation in its organisation and involvement of the citizens in discussions about the procedure – and partnership, which can be developed only if the above values and principles are respected. This particular element is associated with the weaknesses of the analysed models of participatory budgeting in Polish cities. What needs to be done in order to increase trust is to reduce the distance between decision-makers and citizens, and to build a shared conviction that participatory budgeting is to empower people – which will benefit both sides. The goal of measures taken as part of participatory budgeting should be to strengthen and build local bonds among citizens around joint ideas and initiatives submitted within the framework of participatory budgeting. Ultimately, participatory budgeting should help boost the citizens’ interest in the affairs of their local community and enable them to become involved in the decision-making process concerning its development, e.g. by taking part in public consultations, using the mechanisms of local initiative or citizens’ initiative to submit proposals for council decisions.

CONCLUSIONS AND RECOMMENDATIONS

The positive experiences of many countries in the world and in Europe and a kind of fashion for public participation lead us to believe that the idea of participatory budgeting is accepted and becomes a powerful tool in the hands of citizens and residents of cities in
Participatory Urban Governance as an Innovation in Institutional

Poland. The implementation of the idea of participatory budgeting into Polish socio-political reality can be viewed as a positive sign of change: lack of favourable legal conditions, weakness of civil society, not very democratic political culture, reluctance to participate – these are all factors that do not facilitate the procedure. However, what can be described as “vogue for participatory budgeting” has resulted in the introduction of the tool in over 70 local governments over a relatively short period of three years. Another positive aspect is the citizens’ willingness to get involved in the development of the tool and subsequent implementation of projects. What can be regarded as an optimistic sign is the fact that in each of the case studies in question participatory budgeting turned out to be a mechanism for improving solutions adopted earlier, which reflects the desirable concept of “learning organisation.” Worthy of note is the openness to more changes and corrections to the procedure. Other analyses of participatory budgeting in Poland [Kębłowski 2014, Stokłuska, Martela], too, show that the local governments that decide to introduce participatory budgeting generally seek mass participation, not necessarily over the long-term but already in the first year. A large number of projects submitted and of people participating in the ballot become the basis of legitimacy, as it were. Such an assumption seems to be confirmed by the fact that all analysed participatory budgets provide for a public vote in which citizens can participate without participating actively in public discussions.

Yet this positive opinion does not fail to mention the numerous weaknesses and shortcomings of domestic practices. The biggest of them in the analysed case studies turned out to be a lack of strong links to the existing local development policies. If participatory budgeting is to become an effective local policy tool, it should be combined with strategic thinking tools and, above all, be part of a development strategy. W. Kębłowski claims that the procedures of participatory budgeting adopted in Poland do not go hand with quality and the authorities too often focus on quantity, i.e. percentage of citizens involved or number of investments projects proposed, interpreting this as a sign of success, which translates into treating participatory budgeting not as a process but, rather, as an experiment. According to Kębłowski, in the case of many local governments interest in participatory budgeting stems from their desire to use it as a tool to
achieve preconceived goals [Kębłowski 2014]. However, so far no participatory budget implemented city-wide has tried to introduce more deliberative mechanisms involving e.g. taking decisions directly during meetings, after discussions. The general weakness is the amount of money devoted to participatory budgeting (not more than 2 percent of the whole budget), what limits the number of projects which can be implemented within this scheme.

The above analysis shows that the implementation of participatory budgeting in the Polish practice is not systemic. The choice of the individual components that make up the reform process in local governance approach, is often random, discretionary, erratic and inconsistent with new elements. Therefore, the most appropriate reference point illustrating the nature of the local solutions is a set of building blocks for assembling a puzzle that one can be selected – usually in advance by the public authorities – and submitted in any image. But citizens are seldom invited for cooperation, and even if this happens, players do not have a full set of blocks, which makes the puzzle can not be completed. It arises something what should be a comprehensive solution, and ultimately there is little effective action in the context of increasing public participation in the governance of Polish cities.

Thus, in order to forecast future trends and directions of the implementation of participatory budgeting in Poland, we need to know whether and to what extent citizens’ decisions are binding. Do citizens’ decisions concern clearly defined and limited financial resources? Will participatory budgeting in a given city be a regular occurrence? Is participatory budgeting based on transparent rules? Does participatory budgeting provide for a debate? Has broad participation of citizens in participatory budgeting been ensured? Are officials trying to avoid arbitrariness when verifying projects? Answers in the affirmative to these questions, which take into account key rules of model participatory budgeting, will be a sign of professionalisation of the implementation of the tool, and a sign of greater democratisation of processes in the management of local government [see more: Wiktorska-Święcka, Kozak 2014]. However, for the future implementation of participatory budgeting as a tool for public participation it is important if public participation in budgetary matters
will be a real chance or if it becomes the next duty functioning under the guise of democratization of the society?

To conclude, it could be said, after W. Kęblowski, that Poland has developed a specific model of participatory budgeting: city authorities have modified it in order not to give too much power to the public. It can even be termed “empowerment without empowerment”: for representatives of public administration the very fact of allocating no more than a few per cent of cities’ budgets to the public’s participation in decision-making concerning public funds is a manifestation of power transfer and power sharing, but on the other hand when we see model solutions and their implementation in cities outside Poland, we can understand that we cannot speak of empowerment to a desirable degree at this stage. The rules are usually imposed from above, there were no signs in the various editions of partnership between officials and citizens, and the initiative itself is not part of a broader reform in managing local development or modernising the functioning of the public sector. Of course, we should bear in mind that the solutions used in the various cities differ and in each city participatory budgeting can be implemented in a different manner. Nevertheless, although it should be adapted to the local specificity, it should also take into account model, universal rules of participatory budgeting. In order to modernise processes taking place locally in the public sphere, what is needed in the implementation of participatory budgeting is room for discussion and deliberation on local matters. Only by working together to look for and agree on solutions and then by respecting them at the decision-making and subsequently implementation stage one can ensure long-term and competent involvement of citizens in public affairs. Consequently, one will have more legitimacy of decisions, better quality in their implementation as well as higher effectiveness thanks to collaboration and synergy of capitals for urban governance. Thus, as conclusion it should be emphasized, since – as is already known at this stage of its implementation – the participatory budgeting in Poland is growing, as of now, in a limited way.
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